## TECHNOLOGY AND THE COURTS



Appellate Judges Education Institute

November 12-15, 2015 Washington, DC



Why technology?



Efficiency

Straight Ahead



How courts are dealing with it

### Minnesota's eCourtMN initiative





MINNESOTA JUDICIAL BRANCH

## National Conference of Appellate Court Clerks



E-Filing in State Appellate Courts: An Appraisal



25 Years Later, PACER, Electronic Filing Continue to Change Courts

Access to Court Opinions Expands

Case Management

## CM/ECF



**Electronic Case Files** 



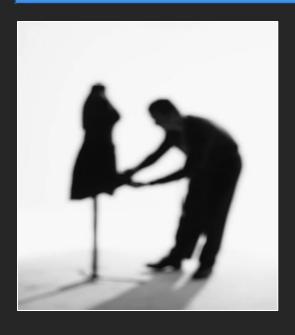
Single login across all courts where lawyer is registered

Case Management

## CM/ECF



**Electronic Case Files** 



# Customize screens Display specific information

Case Management

## CM/ECF



**Electronic Case Files** 



## Emphasize remote access

- For Lawyers
- For Judges



### 5<sup>th</sup> Circuit Technical Advances

Edward C. Prado
Circuit Judge
United States Court of Appeals, 5<sup>th</sup> Circuit

### The Issues

5<sup>th</sup> Circuit district courts wanted to reduce the number of record on appeals for which they must print and ship. Total annual aggregate costs over \$500K.

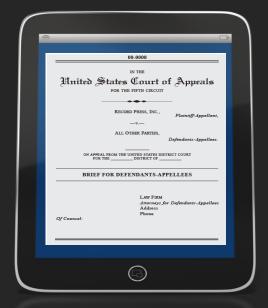
### The Issues

5<sup>th</sup> Circuit bench wanted hyperlinks, but didn't want to require them from the bar.



### The Issues

5<sup>th</sup> Circuit bench wanted to make better use of mobile technology such as the iPad.

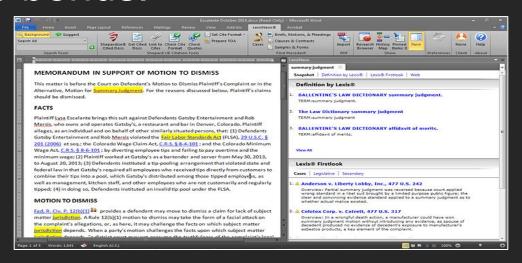


Create a series of applications which tie together to provide the 5<sup>th</sup> circuit bench a mobile briefcase.

All documents for a submission (e.g. motion, oral argument hearing) must be seamlessly transferred to device.

The record on appeal must also be transferred to the device, regardless of how large a particular record is (e.g. Deepwater Horizon cases, Katrina cases both break 100k pages).

Automatic recognition of legal citations would be a nice bonus.



## Electronic Record (EROA)

Went live August 2013.

Thousands of records created, but only a relative handful printed (< 0.5%).



## Electronic Record (EROA)

All pages for a case uniquely bates numbered.

#### **HOW TO CITE THE EROA**

Single Record Cases - ROA.123

Multiple Record – ROA.13-12345.123

Agency Cases – ROA.123

5<sup>th</sup> Cir. R. 28.2.2

## Electronic Record (EROA)

Local rule modified requiring attorneys to cite to record page number as ROA.###.

RULES

and

INTERNAL OPERATING PROCEDURES

OF THE

UNITED STATES COURT OF APPEALS

FOR THE

FIFTH CIRCUIT

28.2.2 Record References. Every assertion in briefs regarding matter in the record must be supported by a reference to the page number of the original record, whether in paper or electronic form, where the matter is found using the record citation form as directed by the Clerk of Court.

May 2015

## Citation Recognitions

## Created Spring 2013, recognizes legal citations filed in the courts.

#### 

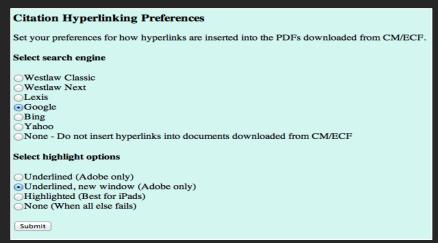
## Citation Recognitions

### Citations recognized include:

- Supreme Court, federal, and state cases.
- Federal statues, codes, and regulations.
- Currently state statutes and regulations for approximately half the states (other states will be completed soon).
- 5<sup>th</sup> Circuit: ROA and ECF document citations.

## Citation Recognitions

This software is baked in to the latest update to our national case management system.



## Mobile Application

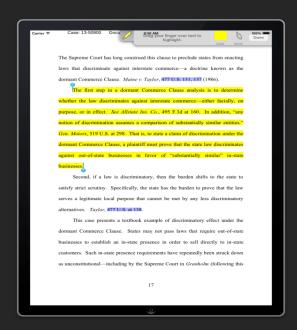
CIMS4iPad offers seamless integration with our chambers application.

 Once the user is authenticated it retrieves the appropriate documents based on the judge's outstanding matters.



### Mobile Application

Connects the electronic record and the briefs via automatic hyperlinking of the ROA record citations. Also has hyperlinks for other legal citations.



## Mobile Application

This applications is being modified for use by all federal appellate courts.





## North Carolina Court Information Technology

Barbara A. Jackson
Associate Justice
Supreme Court of North Carolina

#### Where We Are Headed and How

Vision: eCourts – automation of all court processes to provide

- Virtual courthouses
- Documents filed, retrieved, and work-flowed electronically
- Convenient access to information and services for the public
- Advance analytical capabilities

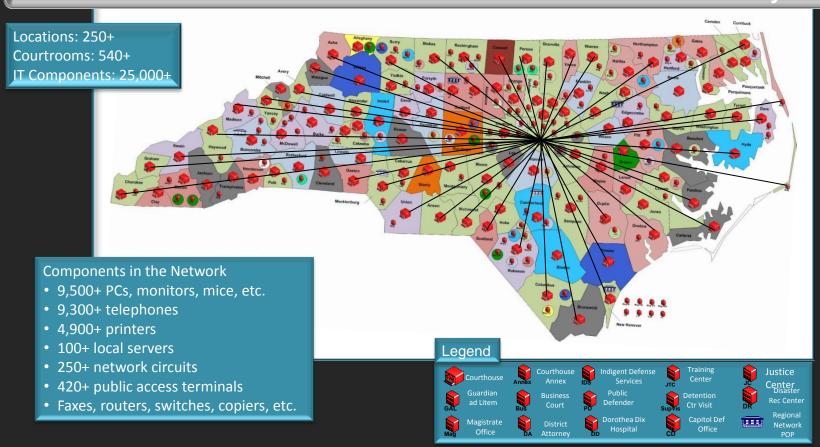
"The right information, at the right time, right where you are."

#### NCAOC Serves a Diverse User Base

- 533 elected officials
  - 7 Supreme Court Justices
  - 15 Court of Appeals Judges
  - 97 Superior Court Judges
  - 270 District Court Judges
  - 44 District Attorneys
  - 100 Clerks of Court
- 696 appointed officials
  - 15 Special Superior Court Judges
  - 681 Magistrates
- 10 million citizens
- 6,000 judicial branch employee
- 33,000+ law enforcement officers



#### A Judicial Network Connects Our Unified Court System



### NCAOC Judicial Branch Applications

- NCAOC supports over 150 applications
  - Age of existing enterprise-level applications:
    - Less that 5 years
    - 5 10 years old
    - 11 15 years old
    - 16 20 years old
    - More than 20 years old

1

21

10

2

9



#### Current/Pipeline Initiatives

- Implementation of foundational technology components
  - Infrastructure capacity upgrades (storage, network, servers, high availability)
  - Enterprise Information Management System (EIMS) platform
  - Enterprise Data Warehouse/Analytics
- Upgrade of Court Digital Recording Technology
- eCitation/Law Enforcement Module Replacement
- eFiling/eCourts Planning
  - Civil Superior Court Filings (18.6K filings/year)
  - eCitations (1.5M citations/year)
- eCompliance/eDismissal (potentially 200-300K cases/year)
  - Reduce lines at the courthouse
  - Improve process efficiency
  - Simplify resolution of compliance issues



# ETHICS and COURT PRIVACY RULES



Appellate Judges Education Institute

November 12-15, 2015 Washington, DC



How do lawyers and Judges deal with it?

## Ethical obligation: Keep up with technology



Rule 1.1 <u>Duty of Competence</u>

**Maintaining Competence** 

[8] To maintain the requisite knowledge and skill, a lawyer should keep abreast of changes in the law and its practice, *including the benefits* and risks associated with relevant technology . . . .



### Sealed Documents

- Motions to file documents (or parts of documents) under seal:
  - Must make motion
  - File in paper only
  - State party's belief that motion to seal:
    - should be publicly available on PACER or
    - should remain sealed.
- May require two versions of brief:
  - Public, redacted version
  - Sealed, unredacted version





### Sealed Documents

### If motion is granted:

File sealed documents in paper only





### Privacy

#### All filed documents:

Refrain from including (or, where inclusion is necessary, partially redact) these personal data identifiers:

- 1. Minors' names (use initials only)
- Social Security numbers (use last 4 digits only);
- 3. Dates of birth (use year of birth only);



### Privacy (continued)

#### All filed documents:

Refrain from including (or, where inclusion is necessary, partially redact) these personal data identifiers:

- Financial account numbers

   (identify type of account, institution, and account number's last four digits)
- 5. Home address information (use phrases such as the "4000 block of Elm")
- 6. Addenda to criminal briefs must not include the Statement of Reasons or other confidential sentencing materials.



### Privacy (continued)

### Filers bear sole responsibility for redactions





Sex abuse victims: identify by initial only

J.A.D.



## IMPACT OF TECHNOLOGY ON THE APPELLATE PROCESS



Appellate Judges Education Institute

November 12-15, 2015 Washington, DC

### Rules on Judicial Notice

### Fed. R. Evid. 201(c)(1):

The court ... may take judicial notice on its own."

### Fed. R. Evid. 201(d):

"The court may take judicial notice at any stage of the proceeding."

## "Judicial experience" and "common sense"

• Ashcroft v. Iqbal, 556 U.S. 662, 679 (2009)

"Determining whether a complaint states a plausible claim for relief will, as the Court of Appeals observed, be a context-specific task that requires the reviewing court to draw on its judicial experience and common sense."

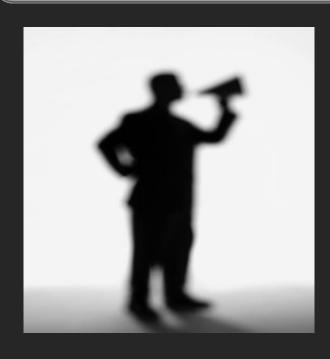
## *United States v. Bari*, 599 F.3d 176, 180 (2d Cir. 2010)

 "The District Court's independent internet research served only to confirm [its] common sense supposition.... 20 years ago, to confirm an intuition about the variety of rain hats, a trial judge may have needed to travel to a local department store. Today, ... a judge need only take a few moments to confirm his intuition by conducting a basic Internet search."

### M.P. v. M.P., 54 a.3D 950, 955 (PA. Super. 2012)

"The trial court here abused its discretion by relying on information it obtained through its own internet search that took place after the hearing had been concluded and while under advisement by the court."

### Opportunity to be heard



### Fed. R. Evid. 201(e):

"Opportunity to Be Heard. On timely request, a party is entitled to be heard on the propriety of taking judicial notice and the nature of the fact to be noticed. If the court takes judicial notice before notifying a party, the party, on request, is still entitled to be heard."

### **Appellate Courts' Use of Internet Materials**

### The Curious Appellate Judge

"A judge who takes it upon herself to do fact research departs from her normal role and from the parties' expectations about the sources of information on which the court will depend."



### **Appellate Courts' Use of Internet Materials**

### The Curious Appellate Judge

"The rules governing independent judicial research should therefore make it clear to both judges and litigants when research is and is not permitted, and should subject judge-supplied information to the same adversarial testing as any other kind of evidence."



- Independent Judicial Research in the Daubert
   Age
- When Judges Google
- Confronting Supreme Court Fact Finding
- The Lure of the Internet and the Limits on Judicial Fact Research
- Judicial Ethics and The Internet: May Judges
   Search The Internet in Evaluating and Deciding a
   Case? (16 No. 2 prof. Law.2)
  - Attorneys Must Relitigate Cases for Free

### Link Rot – Perma

#### Link Rot

#### Lawrence Lessig's study of link rot

50% of SCOTUS links are dead

#### Perma

- Seeks to address link rot
- Archives all judicially linked resources
- 30 law libraries will store archive copies
  - Diversity reduces the likelihood of the archive going fallow
- ABA Journal article on Perma (Dec. 2013)



## DEFERENCE IN A DIGITAL AGE



Appellate Judges Education Institute

November 12-15, 2015 Washington, DC

### Impact on standard of review

- The Unblinking Eye Turns Appellate
  Law: Cameras in Trial Courtrooms and
  Their Effect on Appellate Law
- Thawing Out the Cold Record
- Deference in a Digital Age

## SCREEN WRITING FOR SCREEN READING



Appellate Judges Education Institute

November 12-15, 2015 Washington, DC

### Court issuing iPads













Many appellate judges read on iPads.

### Richard C. Wesley Second Circuit Court of Appeals





"The iPad was a game changer for me."

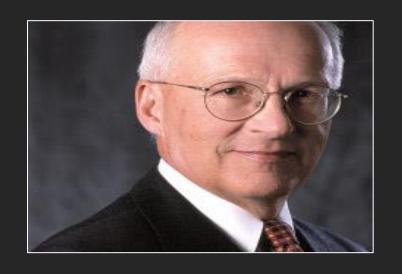
"With it, I can work from anywhere as long as I have wifi access."





~2011: Clerks taught how to use iPad "Now I use it all the time!"

"And now, so do many of my fellow judges . . . ."



### **Judicial Retreat:**

"Judge Lynch and I did a demonstration"

- mark up PDFs
- memos with hyperlinked cases



### **Every Second Circuit order:**

- Before retreat = all paper
- After retreat = all PDFs





"[J]udges were . . . comfortable with PDFs."

"[W]e immediately reduced the flow of paper for a lot of the court's motion practice."

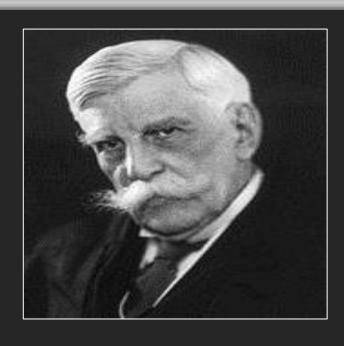
## Effective Advocacy in a technological world

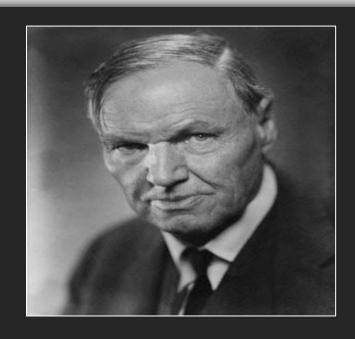


## Not superficial; this is advocacy



## Of course. Substance is essential.





### ... but presentation matters.



### Dressing for Court





### Speaking to Courts





### Writing for Courts

theele cole, et al., Notice of Appeal

I hereby am informing you that I appealing the asshore Ronald B. Leighton's islan in this matter.

you have been hereby served Notice, ou're not setting away with this shift that usy.

signed this 10th day of July 2006

George C. Swinger JR

No. 10-402

#### In The Supreme Court of the United States

TUCK-IT-AWAY, INC., et al.,

Petitioners,

NEW YORK STATE URBAN DEVELOPMENT CORPORATION, d/b/a EMPIRE STATE DEVELOPMENT CORPORATION.

Respondent.

On Petition For A Writ Of Certiorari To The Court Of Appeals Of New York

BRIEF OF AMICUS CURIAE NEW YORK STATE SENATOR BILL PERKINS IN SUPPORT OF PETITIONERS

> DENISE OUTRAM\* Harlem State Office Building, Suite 912 163 West 125th St. New York, NY 10027 212-222-7315 doutram@nysenate.gov

AMY LAVINE ALBANY LAW SCHOOL 80 New Scotland Ave. Albany, NY 12208 518-472-5849 alavine@albanylaw.edu

\*Counsel of Record Counsel for Amicus Curiae

COCKLE LAW BRIEF PRINTING CO. (800) 25 OR CALL COLLECT (409) 843-2881

### Writing for paper





### Writing for screens

#### No. 11-398

#### In the Supreme Court of the United States

DEPARTMENT OF HEALTH AND HUMAN SERVICES, ET AL., PETITIONERS

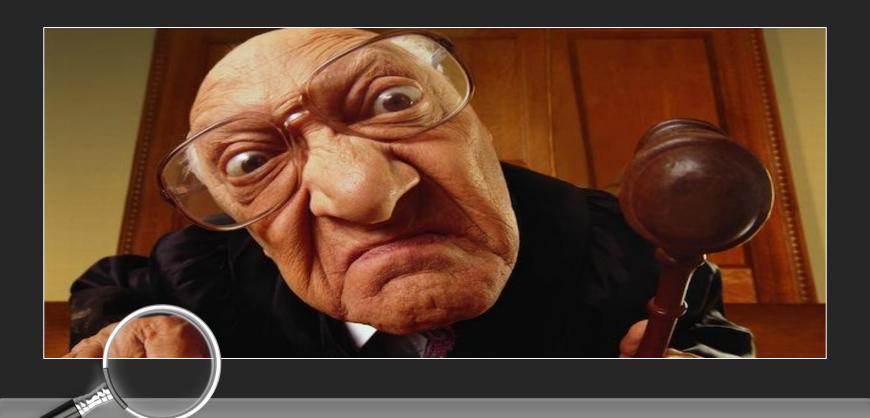
#### STATE OF FLORIDA, ET AL.

ON WRIT OF CERTIORARI
TO THE UNITED STATES COURT OF APPEALS
FOR THE ELEVENTH CIRCUIT

#### BRIEF FOR PETITIONERS (Minimum Coverage Provision)

DONALD B. VERRILLI, JR.
Solicitor General
Counsel of Record
TONY WEST
Assistant Attorney General
EDWIN S. KNEEDLER

#### In the Supreme Court of the United States DEPARTMENT OF HEALTH AND HUMAN SERVICES. STATE OF FLORIDA, ET AL. TO THE UNITED STATES COURT OF APPEALS FOR THE ELEVENTH CIRCUIT BRIEF FOR PETITIONERS (Minimum Coverage Provision) DONALD B. VERRILLI, JR. Solicitor General Counsel of Record Counsel of Record TONY WEST Assistant Attorney General EDWIN S. KNEEDLER Deputy Solicitor General BETH S. BRINEMANN Deputy Assistant Attorney General JOSEPH R. PALMORE Assistant to the Solicitor General M. Patricia Smith Solicitor of Labor Department of Labor Washington, D.C. 20210 MARE B. STERN ALIIA B. KLEIN SAMANTHA L. CHAIFETE DANA KAERIVANG Attorneys KENNETH Y. CHOE SupremeCtBriefs@uadoj.go (202) 511-2217



'I can't read on screens!"

# There's a reason that's local true today

. . . that's less true today.

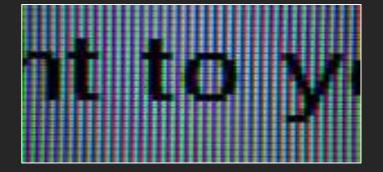
### Paper = 300-600 ppi (points per inch)

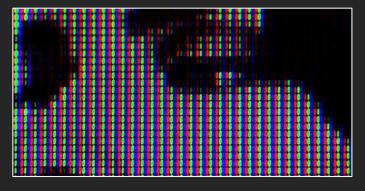
MY wind Phoe Paper



# CRT = 60 ppi



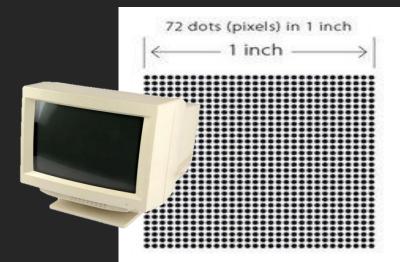






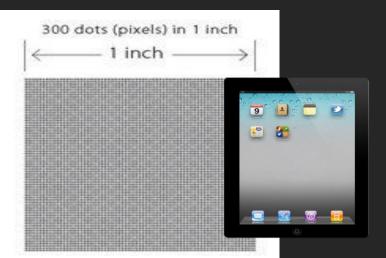


# Over Time: Better PPI

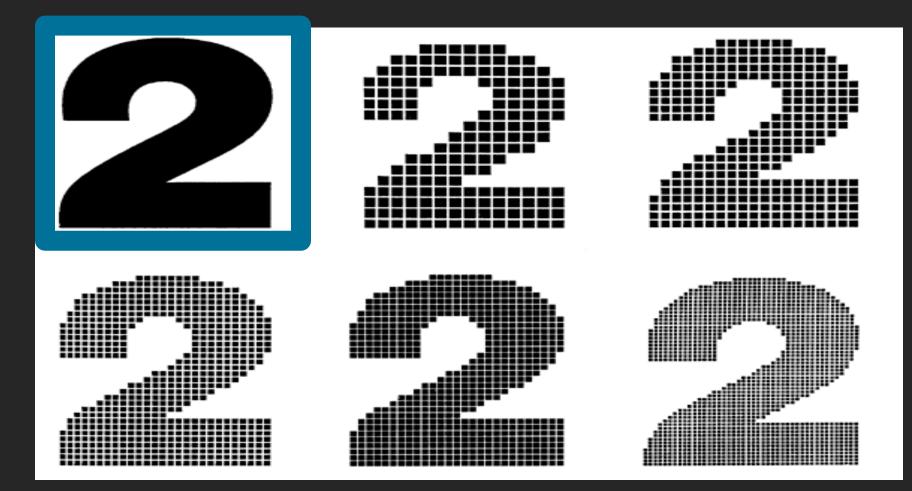


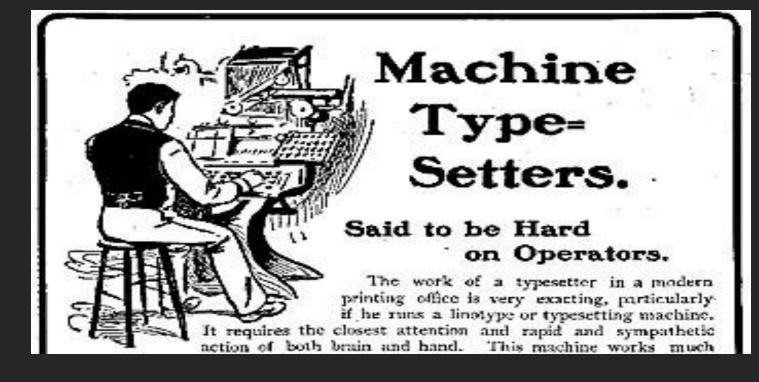
72 dpi

72 dots per-inch



300 dpi





# Better fonts

# Remember Courier?

# Times New Roman (1932)



LONDON, WEDNESDAY, SEPTEMBER 28, 100:

THE



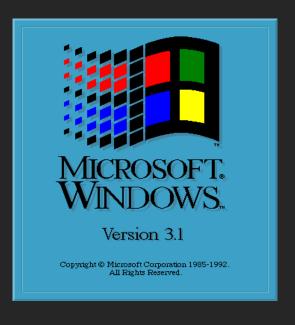
TIMES

LONDON MONDAY OCTOBER 3 193

# EFGHIJKLMNOPQRSTUVWXYZ ghijklmnopqrstuvwxyz

Condensed = more text per page

# Default in 1992





- 10 Times New Roman Times
- 12 Times New Roman Times New Roman Times New Roman Times New Roman Times New 16 Times New Roman Times New Roman Times New Roman Times Ne
- <sup>24</sup> Times New Roman Times New Roman Times
- <sup>∞</sup>Times New Roman Times Nev
- I<sup>®</sup>Times New Roman Tir
- Times New Roman
- <sup>72</sup> Times New Roa

# Default in 2007



# Calibri

ABCDabcd - Regular

**ABCDabcd - Bold** 

ABCDabcd - Italic

ABCDabcd - Bold Italic

# wind



# But don't most courts *require*Times New Roman?



# Rules require serifs





- (5) **Typeface.** . . .
  - a. A proportionally spaced face must include serifs but sansserif type may be used in headings and captions



### 7th Cir. Typography

Studies have shown that long passages of serif type are easier to read and comprehend than long passages of sansserif type. The rule accordingly limits the principal sections of submissions to serif type, although sans-serif type may be used in headings and captions.

# Sans Serif

Serif



Fed. R. App. P. 32(a)

(5) Typeface...

a. A proportionally spaced face must include serifs, but sans-serif type may be used in headings and captions.

# Font choice



Not choosing (keeping the default) is a choice.

## Conventional Wisdom



Paper = Serif
(e.g., Book Antiqua)



Screens = Sans Serif (e.g., Arial)

But we don't know how judges will read briefs — paper or screens.

What can we do?

# There's a font [set] and technology for that.



Joe Friend Microsoft

"We believed that more and more documents would never be printed but would solely be consumed on a digital device."

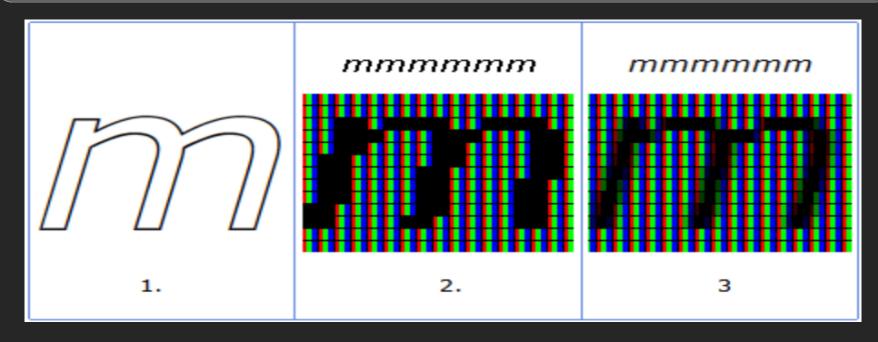


Joe Friend Microsoft

"To support digital consumption, the new fonts were created to improve screen readability. They [Microsoft employees] do this via a technology called ClearType."

Forbes Article Dec. 2013

# LCDs - ClearType



No ClearType ClearType

# Fonts Designed for ClearType

### Cambria

The five boxing wizards jump quickly

### Constantia

The five boxing wizards jump quickly

### Calibri

The five boxing wizards jump quickly

### Candara

The five boxing wizards jump quickly

# Serif

# Sans Serif

# ClearType Fonts?

### Cambria

The five boxing wizards jump quickly

### Constantia

The five boxing wizards jump quickly



### Fed. R. App. P. 32(a)(5)

### Candara

The five boxing wizards jump quickly

# Serif

# Sans Serif



Typography:
Don't take our word for it.

# Ask Profesionals.



# Seventh Circuit



"This section of the handbook also includes some suggestions to help you make your submissions more legible—and thus more likely to be grasped and retained

# Times New Roman vs. better fonts



The quick brown fox jumps over a lazy dog — Times New Roman

### Best Bets

The quick brown fox jumps over a lazy dog - Garamond

The quick brown fox jumps over a lazy dog — Baskerville Old Face

The quick brown fox jumps over a lazy dog — Goudy Old Style

The quick brown fox jumps over a lazy dog — Bell MT

The quick brown fox jumps over a lazy dog — Book Antiqua

The quick brown fox jumps over a lazy dog — Century Schoolbook

# Spacing

### Arial 13/13

### Arial 13/18

### Arial 13/22

Mauris iaculis porttitor posuere. Praesent id metus massa, ut blandit odio. Proin quis tortor orci. Etiam at risus et justo dignissim conque. Donec conque lacinia dui, a porttitor lectus condimentum laoreet. Nunc eu ullamcorper orci. Quisque eget odio ac lectus vestibulum faucibus eget in metus. In pellentesque faucibus vestibulum. Nulla at nulla justo, eget luctus tortor. Nulla facilisi. Duis aliquet egestas purus in blandit. Curabitur vulputate, ligula lacinia scelerisque tempor, lacus lacus ornare ante, ac egestas est uma sit amet arcu. Class aptent taciti sociosou ad litora torquen

Mauris jaculis porttitor posuere. Praesent id metus massa, ut blandit odio. Proin quis tortor orci. Etiam at risus et justo dignissim congue. Donec congue lacinia dui, a porttitor lectus condimentum lagreet. Nunc eu ullamcorper orci. Quisque eget odio ac lectus vestibulum faucibus eget in metus. In pellentesque faucibus vestibulum. Nulla at nulla justo, eget luctus tortor. Nulla facilisi. Duis aliquet egestas purus in blandit. Curabitur vulputate, ligula lacinia scelerisque tempor. lacus lacus ornare ante, ac egestas est urna sit amet arcu. Class aptent taciti

sociosou ad litora toro:

Mauris iaculis porttitor posuere. Praesent id metus massa, ut blandit odio. Proir quis tortor orci. Etiam at risus et justo dignissim congue. Donec congue lacinia dui, a porttitor lectus condimentum laoreet. Nunc eu ullamcorper orci. Quis eget odio ac lectus vestibulum faucibus eget ii metus. In pellentesque faucibus vestibulum. Nulla a nulla justo, eget luctus torto Nulla facilisi. Duis aliquet egestas purus in blandit. Curabitur vulputate, ligula lacinia scelerisque tempor lacus lacus ornare ante, a egestas est urna sit amet arcu. Class aptent taciti sociosqu ad litora torquent.

# "Double spaced"

### **Supreme Court R. 33:**

"every document . . . double spaced"

Fed. R. App. P. 32(a)(4):

"text must be double-spaced"

Minn. L. R. 7.1(f):

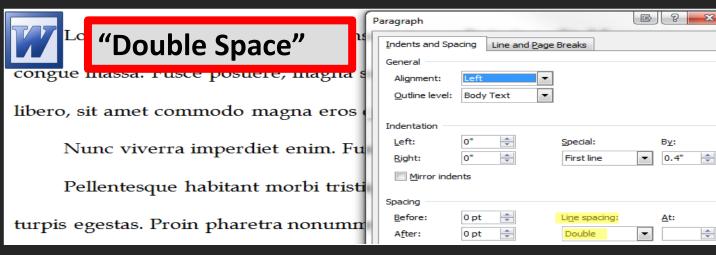
"typewritten and double-spaced"

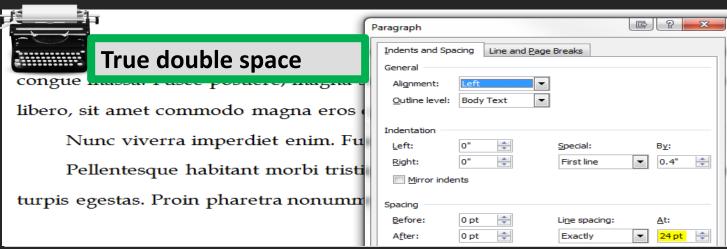


# True "double space" = 2.0x 12 pt font = 24 pt spacing



Word "double space" = 2.3x 12 pt font = 30 pt spacing





# What do courts mean?



"Double space" = 2.33x 12 pt font = 30 pt spacing



"Double space" = 2.0x 12 pt font = 24 pt spacing

# Tablets are smaller.

More (readable) lines per page are better.

(Slip Opinion)

OCTOBER TERM, 2011

Syllabus

NOTE: Where it is feasible, a syllabus (headnote) will be released, as is being done in connection with this case, at the time the opinion is issued. The syllabus constitutes no part of the opinion of the Court but has been prepared by the Reporter of Decisions for the convenience of the reader. See United States v. Detroit Timber & Lumber Co., 200 U. S. 321, 337.

### SUPREME COURT OF THE UNITED STATES

Syllabus

NATIONAL FEDERATION OF INDEPENDENT BUSINESS ET AL. v. SEBELIUS, SECRETARY OF HEALTH AND HUMAN SERVICES, ET AL.

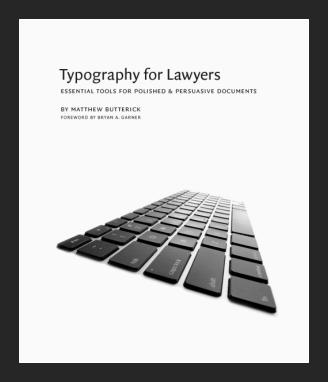
CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE ELEVENTH CIRCUIT

No. 11-393. Argued March 26, 27, 28, 2012-Decided June 28, 2012\*

In 2010, Congress enacted the Patient Protection and Affordable Care Act in order to increase the number of Americans covered by health insurance and decrease the cost of health care. One key provision is the individual mandate, which requires most Americans to maintain "minimum essential" health insurance coverage. 26 U. S. C. §5000A. For individuals who are not exempt, and who do not receive health insurance through an employer or government program, the means of satisfying the requirement is to purchase insurance from a private company. Beginning in 2014, those who do not comply with the mandate must make a "isphared responsibility payment" to the Federal Government. §5000A(b)(1). The Act provides that this "penalty" will be paid to the Internal Revenue Service with an individual staces, and "shall be assessed and collected in the same manner" as tax penalties. §\$5000A(c), (g)(1).

Another key provision of the Act is the Medicaid expansion. The current Medicaid program offers federal funding to States to assist

# Line Spacing: 1.2x to 1.45x



"For most text, the optimal line spacing is between 120% and 145% of the point size."

14pt font = 17-20pt spacing

### IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA	
Plaintiff,	)
v.	Civil Action No.12-CV-2826 (DLC)
APPLE, INC., HACHETTE BOOK GROUP, INC., HARPERCOLLINS PUBLISHERS, L.L.C. VERLAGSGRUPPE GEORG VON HOLTZBRINK PUBLISHERS, LLC db/a MACMILLAN, THE PENGUIN GROUP, A DIVISION OF PEARSON PLC, PENGUIN GROUP (USA), INC. and SIMON & SCHUSTER, INC.,	
Defendants.	)

BRIEF OF BOB KOHN AS AMICUS CURLAE\*

<sup>\*</sup>Five-page version of Proposed Brief Amicus Curiae at Docket No. 97.

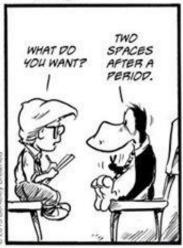






### **BLOOM COUNTY 2015**





### by Berkeley Breathed



